

ASSET
SERVICING

caceis
INVESTOR SERVICES

A company of
Crédit Agricole



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is

GLOBAL ASSET SERVICING PARTNER

100%

ASSET
SERVICING



EUROPEAN
LEADER

CACEIS is an asset servicing banking group specialising in **added-value financial services** for all asset classes. With a solid IT infrastructure, we provide execution, clearing, custody, depositary and asset valuation in markets worldwide to assist institutional investors, global custodians, asset management companies, pension funds, private equity funds, banks, brokers and corporate clients.

As a **specialist in operational process outsourcing**, CACEIS creates an environment that lets our clients focus on their core business. CACEIS benefits from the support of our original shareholder Crédit Agricole.

CRÉDIT AGRICOLE S.A.

100%

SHAREHOLDER

CACEIS is backed by one of the largest banking group in Europe, which gives us the resources to define the most effective strategy to support our clients.



A FOLLOW- THE-SUN MODEL

With a global presence across all time zones, CACEIS provides continuity of service to all clients.



7,000

EMPLOYEES

(as at December 2024)

17

SITES AROUND THE WORLD



SUSTAINED BUSINESS GROWTH



**FINANCIAL
STABILITY**



EXPERTISE

CACEIS is a **leader in asset servicing** and posts solid financial results. Steady growth in terms of assets, clients and geographical coverage makes CACEIS a reliable partner for our clients.

CACEIS' capital is prudentially allocated to ensure client assets are fully protected, and investment in technology guarantees clients high service levels. CACEIS also offers clients a rigorous compliance system that fosters the stable and sustainable development of their business activities.

A STRONG FINANCIAL PROFILE

NET BANKING INCOME €2,083m

GROSS OPERATING INCOME €603m

NET PROFIT AFTER TAX €455m

COST-TO-INCOME RATIO 71%

SHAREHOLDER EQUITY TIER 1 €2,596m

(SOLVENCY RATIO 21.9%)

SHAREHOLDER EQUITY TIER 1+2 €2,596m

(SOLVENCY RATIO 21.9%)

Figures as at 31 December 2024, in €million



€**5.3**trillion
ASSETS UNDER CUSTODY



€**3.4**trillion
ASSETS UNDER
ADMINISTRATION



€**2.4**trillion
ASSETS UNDER
DEPOSITARY/TRUSTEE

Assets as at 31 December 2024



RATINGS

S&P GLOBAL

A+ A-1

MOODY'S*

Aa3 P-1

FITCH*

A+/AA- F1+

* CACEIS benefits from
Crédit Agricole S.A.'s overall rating.

SOLUTIONS TAILORED TO EACH CLIENT'S NEEDS

www.caceis.com/what-we-do



**COMPREHENSIVE
SOLUTIONS**



CACEIS provides post-trade activity support from order execution to asset custody.



Client coverage

ASSET MANAGERS

INSURANCE COMPANIES

INTERNATIONAL BANKS / CORRESPONDENT BANKING

BROKER-DEALERS

CORPORATE CLIENTS

PRIVATE BANKS

PRIVATE ASSET OWNERS*

PENSION FUNDS

ETFs

SOVEREIGN SUPRANATIONAL AGENCY

COMMODITIES

FAMILY OFFICES

* Private Equity, Real Estate, Infrastructure, Private Debt



Global services

GLOBAL AND LOCAL CUSTODY

FUND AND PORTFOLIO ADMINISTRATION

EXECUTION, CLEARING AND SECURITIES

FINANCE SOLUTIONS

MIDDLE-OFFICE

SHARE CLASS HEDGING

FINANCIAL, REGULATORY AND TAX REPORTING

ESG SOLUTIONS



Tailored services

DEPOSITARY AND TRUSTEE

INVESTMENT ACCOUNTING

CLEARING AND SETTLEMENT AGENT

TRANSFER AGENT

FUND DISTRIBUTION SERVICES

MIDDLE AND BACK OFFICE OUTSOURCING

PRIVATE ASSET SOLUTIONS

ETF SERVICES

PENSION FUND SERVICES

ISSUER SERVICES*

* Through Uptevia, a subsidiary 50% owned by CACEIS

THE POWER TO INNOVATE

www.caceis.com/demo-space



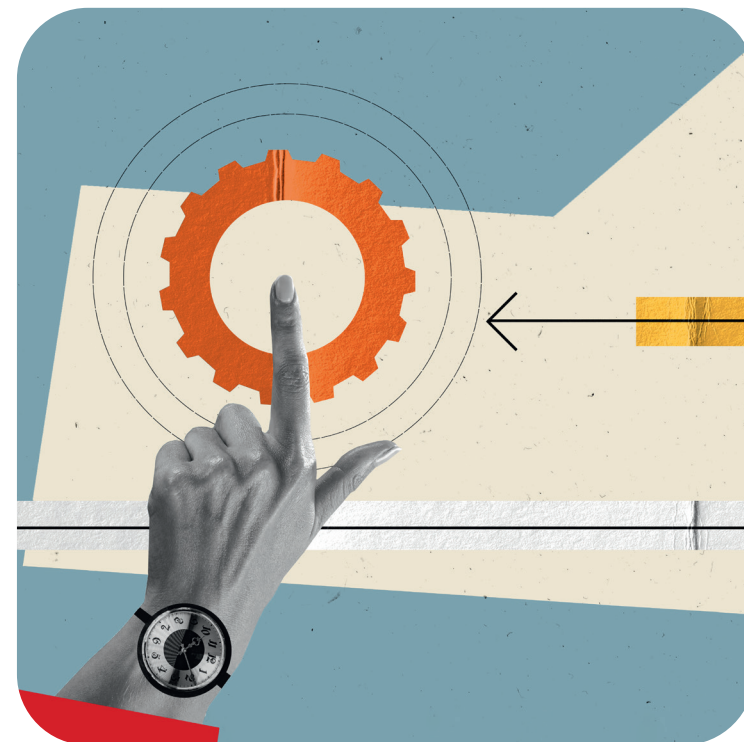
CO-CREATION



FLEXIBILITY

CACEIS has implemented a **strategy to digitalise** its value chain to better serve clients. We leverage digital technology to improve the user experience, increase the efficiency and security of operations, and optimise product sales channels.

By developing **innovative and agile solutions** to raise service efficiency, CACEIS remains focused on digitalising the client journey, from account opening and contract signature through to measuring satisfaction by implementing our “Leading by Client Satisfaction” process.



The **OLIS** web portal is the central contact point for CACEIS services. It provides a clear overview of all fund activities in real time. OLIS is founded on data security and system stability, so our clients' data is in good hands. The OLIS Mobile apps (Funds and Sherpa) enable clients to access OLIS securely on mobile devices.



TEEPI is a digital platform with three modules designed to address fund distribution challenges:

- **TEEPI Market Place** to efficiently manage fund distribution and invest in a wide range of funds.
- **TEEPI Data Hub** to send out regulatory files.
- **TEEPI ETF** to access the primary ETF market.



CACEIS' OPEN FINANCE SOLUTION

Accessible via the OLIS client portal, the Connect Store provides access to the best fintech solutions on the market, in addition to the services already offered by CACEIS.

A wide range of Plug & Play services provided by partner companies selected for their recognised expertise in addressing clients' business challenges is available. Six solutions are already available: **FundGlobam** for fund distribution, **Clarity AI** for ESG analysis and reporting, **Osmoze** and **Sismo** for data analysis, **AlphaOmega** for PRIIPs, **DRRT** for class actions.

We add around five new fintech partners each year.

DIGITAL ASSETS

CACEIS is authorised to provide crypto-asset services under the European Markets in Crypto-Assets (MiCA) regulation by France's financial regulator. The group has set up a dedicated Business Line focusing exclusively on digital assets to support clients looking to invest in digital assets within a highly secure environment.

Digital asset custody offer is fully integrated with CACEIS' IT systems, and built on the robust suite of digital platforms developed by Taurus for storing private keys and connects to the major Blockchains including Bitcoin, Ethereum, and Polygon. It also relies on Scorechain, Europe's premier compliance solution provider for the Blockchain ecosystem.

CACEIS is keen to be the benchmark asset servicing group in Europe for digital asset custody and tokenised funds units, fully playing its role as a trusted third party unit.

STRONG CORPORATE RESPONSIBILITY



COMMITMENT AND
RESPONSIBILITY



CACEIS' ESG & Climate reporting offer: an innovative, easy-to-use digital solution

CACEIS offers a comprehensive range of services for asset managers and institutional investors to assess their exposure to ESG risks.

The service is divided into two parts: **risk monitoring**, to monitor changes in and exposure of portfolios to ESG risks; and **regulatory reporting**, to produce pre-configured reports (EET, SFDR, TCFD) to meet the requirements of the regulator and the market.

CACEIS believes that strong corporate social responsibility is a source of long-term growth, which includes a deep commitment to being a **reliable partner** for our clients by adding sustainable value.

CACEIS' ESG strategy reflects our commitment to **supporting the climate transition** by assisting clients in the implementation of their ESG regulatory obligations and assessing their portfolios for a more carbon-free world.

Concerned about our own social and environmental impacts, and aware of our duty to set an example, CACEIS **has been measuring its direct environmental footprint for many years** and implementing action plans to reduce it.

CACEIS' **code of conduct** reflects the high standards of responsibility and quality that govern our professional activities, and a determination to always act in the best interests of clients and stakeholders. It encourages our suppliers to adopt this virtuous approach.

As a **responsible employer**, CACEIS adheres to ethical and social principles. CACEIS is committed to promoting an inclusive work environment that cares for its employees, where everyone is recognised and valued, and where equal opportunity is a core principle. In this context, CACEIS implements policies that support the development of each employee's skills and offer a variety of career opportunities.

The **Sustainability Centre** is a committee dedicated to promoting CSR practices and fostering an ESG culture within the company. Its goal is to encourage the adoption of sustainable practices in our activities and to strengthen communication around responsible initiatives, with the aim of raising awareness and engaging all stakeholders.

CACEIS' THREE CSR PILLARS



- 1 **Supporting clients with confidence and security**
- 2 **Taking action to promote the energy and environmental transition**
- 3 **Being a responsible and committed employer**



AN INTERNATIONAL SALES FORCE

Find all our sales contacts
by clicking here



**LONG-TERM
PARTNER**



**CLIENT
PROXIMITY**

excellence in client relationships is a key element in CACEIS' approach. Clients remain at the centre of all activities to provide personalised support adapted to their individual needs.

CACEIS' front-office teams have the experience to understand the commercial strategy and cater to the complex needs of global clients. **CACEIS develops a close working relationship with each client** by offering them a dedicated sales contact to guarantee the quality of the services provided.



YOUR CONTACTS

Joe Saliba Global Head of Coverage Europe (hors France)

Jean-Christophe de Coninck Global Head of Coverage France

Nils Mordt Global Head of Coverage Rest of the World

Arnaud Garel-Galais Switzerland

Joe Saliba Nordics

Anja Maiberger Germany, Austria & Central and Eastern Europe

Elena Mesonero Spain & Latam

Cyril Schopfer United States

Riccardo Dalfiume Italy

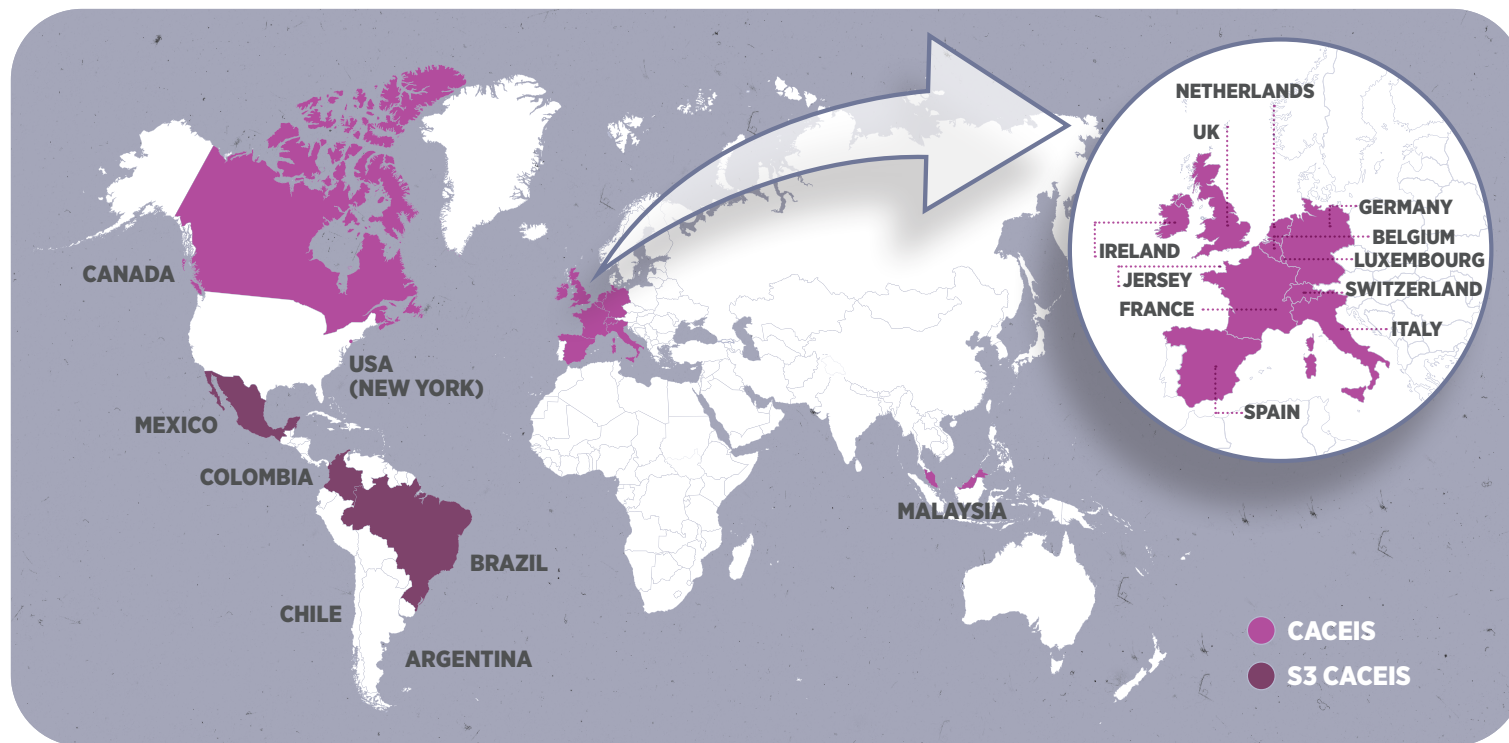
Olivier Storme Belgium & Luxembourg

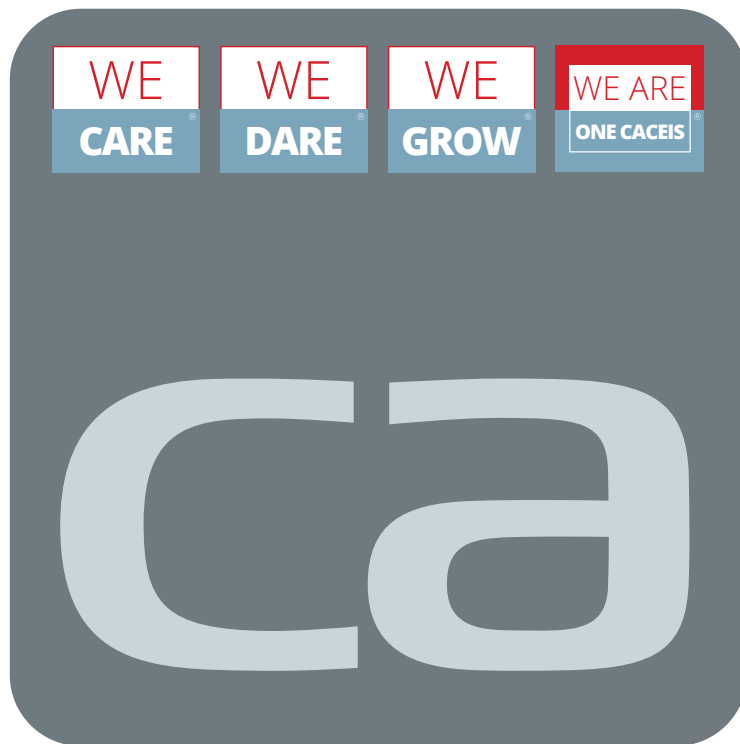
Rinke Visser Netherlands

Paddy Walsh Ireland

Mario Lo Iacono Market Solutions

Olivia Zitouni Private Equity & Real Estate Solutions





SOLID&INNOVATIVE
 ACTING EVERY DAY IN THE INTERESTS OF OUR CLIENTS & SOCIETY

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