

caceis
INVESTOR SERVICES

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ASSET SERVICING

A company of Crédit Agricole and Santander

CACEIS is the asset servicing banking group specialising in post-trade services for all asset classes. With a solid IT infrastructure, it provides execution, clearing, custody, depositary, asset valuation and issuer services in markets worldwide to assist institutional investors, global custodians, insurance companies, asset management companies, pension funds, private equity funds, banks, brokers and corporate clients. As a specialist in operational process outsourcing, CACEIS creates an environment that ensures its clients can focus on their core business.

CACEIS benefits from the support of its original shareholder Crédit Agricole SA, as well as Santander. At the end of 2019, the leading bank in the Spanish market combined its asset servicing and institutional custody activities in Spain and Latin America (Brazil, Mexico and Colombia) with CACEIS. The merger between CACEIS and Santander Securities Services (S3) strengthens the CACEIS group's position as a major global player in asset servicing.

CACEIS is a long-term strategic partner for its clients.

A FOLLOW-THE-SUN MODEL

With a global presence across in all time zones, CACEIS provides continuity of service to all clients.



4,560
EMPLOYEES
(as at 31 December 2021)

15
SITES AROUND THE WORLD

SHAREHOLDERS

CACEIS is backed by two of Europe's largest banking groups, which gives it the resources to define the most effective strategy to support clients.

CRÉDIT AGRICOLE

69.5%

SANTANDER

30.5%



RATINGS

S&P GLOBAL

A+ A-1

MOODY'S*

Aa3 P-1

FITCH*

A+/AA- F1+

* CACEIS benefits from
Crédit Agricole S.A.'s overall rating.

With an innovative product offering, that's adapted to changing market practices and regulatory requirements, CACEIS is a leading global provider of custody, depositary and fund administration services.

Steady growth in terms of assets, clients and geographical coverage makes CACEIS a reliable partner for its clients. All resources are devoted to asset servicing, providing optimal solutions for clients seeking an expert partner

that is both innovative and financially solid. CACEIS' capital is prudentially allocated to ensure clients' assets are fully protected, alongside investment in technology to provide clients high service levels.

CACEIS also offers clients a rigorous compliance system that fosters the stable and sustainable development of their business activities.

CACEIS is a European leader in asset servicing and one of the major players worldwide.



€ **4.6** trillion

ASSETS UNDER CUSTODY



€ **2.4** trillion

ASSETS UNDER ADMINISTRATION



€ **1.8** trillion

ASSETS UNDER DEPOSITARY/TRUSTEE



 **Clients coverage**

- ASSET MANAGERS**
- INSURANCE**
- INTERNATIONAL BANKS / CORRESPONDENT BANKING**
- PRIVATE BANKS**
- BROKER / DEALERS**
- CORPORATES**
- PRIVATE ASSETS OWNERS***
- PENSION FUNDS**
- COMMODITIES**
- ETFs**
- FAMILY OFFICE**
- SOVEREIGN SUPRANATIONAL AGENCY**

** Private Equity, Real Estate, Infrastructure, Private Debt*

Global services

- GLOBAL AND LOCAL CUSTODY**
- FUND AND PORTFOLIO ADMINISTRATION**
- GLOBAL AND CROSS-ASSETS MARKET SOLUTIONS**
- MIDDLE-OFFICE**
- SHARE CLASS HEDGING**
- REPORTING**
- ESG SOLUTIONS**

Digital platforms

- OLIS CLIENT PORTAL**
- TEEPI DIGITAL PLATFORM**
- CACEIS CONNECT STORE**
- FRONT-TO-BACK ALTO* X CACEIS SOLUTION**

**ALTO: Amundi Leading Technologies & Operations*

Tailored services

- DEPOSITARY TRUSTEE**
- INVESTMENT ACCOUNTING**
- CLEARING AND SETTLEMENT AGENT**
- EXECUTION AND CLEARING COMMODITIES**
- FUND DISTRIBUTION SERVICES**
- MIDDLE AND BACK OFFICE OUTSOURCING**
- PRIVATE ASSETS SOLUTIONS**
- CORPORATE SERVICES**
- ETF DEDICATED SERVICES**
- PENSION FUNDS SERVICES**

www.caceis.com/what-we-do

CACEIS provides post-trade activity support from order execution to asset custody.



CACEIS has long been an asset servicing company fully committed to its digital transformation. It has implemented a strategy to digitalise its value chain to better serve clients and improve their experience of working with CACEIS.

With an ambition to offer innovative and agile solutions to drive a more efficient service, CACEIS remains focused on digitalising the client journey, from account opening and contract signature through to measuring satisfaction by implementing its “Leading by Client Satisfaction” process.

A successful digital transformation requires a collaborative approach with the users of all services provided by CACEIS, placing clients at the heart of the product creation process.

100 %
HUMAN
100 %
DIGITAL

Digital solutions

www.caceis.com/demo-space



OLIS CLIENT PORTAL

The OLIS web portal is the single entry point for CACEIS services. It provides a clear overview of all fund activities in real time. OLIS is grounded in data security and system stability, so client data is in good hands. The OLIS Mobile range (Funds, Shares, Sherpa and Agro) is an app that enables clients to access OLIS securely on mobile devices.

CONNECT STORE

Accessible through OLIS, the Connect Store provides access to a wide range of “plug & play” services provided by partner companies. CACEIS specifically pre-selects these companies for their recognised expertise in meeting its clients current and evolving needs.



TEEPI, THE FUNDS NETWORK

TEEPI is a multi-service digital platform comprising three modules designed to assist with fund distribution challenges.

- **TEEPI Market Place** offers management companies a tool to manage fund distribution and enables investors to send their orders directly to fund transfer agents.
- **TEEPI Data Hub** operates like a social network and is the preferred solution for fund industry operators for transferring regulatory files.
- **TEEPI ETF** is a digital solution designed specifically to give Authorised Participants access to the primary ETF market.

ALTO* x CACEIS, THE FRONT-TO-BACK PLATFORM

The fully integrated front-to-back ALTO* x CACEIS solution provides overall control of the entire operational value chain: portfolio management system, dealing, middle-office, custodian and fund administration services. It combines Amundi’s ALTO* portfolio management system with CACEIS’ execution to custody services to offer a unique turnkey outsourcing solution.

**ALTO: Amundi Leading Technologies & Operations*

DIGITAL ASSETS

The new category of Digital Assets is a natural extension of CACEIS’ two core businesses of custody and administration. CACEIS is developing a market solution to offer tokenised asset issuance and custody services.



CACEIS believes that strong corporate social responsibility is a source of long-term growth, which includes a deep commitment to being a **reliable partner** for its clients by adding sustainable value.

CACEIS' ESG strategy reflects its commitment to supporting the climate transition by **assisting its clients** in the implementation of their ESG regulatory obligations and assessing their portfolios for a more carbon-free world.

Concerned about its own social and environmental impacts, and aware of its duty to set an example, CACEIS **has been measuring its direct environmental footprint** for many years and implementing action plans to reduce it.

CACEIS' **code of conduct** reflects the high standards of responsibility and quality that govern its professional activities, and a determination to always act

in the best interests of clients and stakeholders. It encourages its suppliers to adopt this virtuous approach.

As a **responsible employer**, CACEIS has implemented a company policy aimed at helping its employees to improve their skills. Voluntary programmes covering compliance, diversity, fairness and quality of life at work bolster the ethical awareness, commitment and motivation of the teams.

CACEIS has created its **Sustainability Center**, a think tank that meets regularly to reflect on how to improve its actions and services to clients. It brings together CACEIS' employees invested in sustainable development to support CACEIS' energy transition, encourage the development of CSR, meet the growing expectations of the European regulator and accelerate the development of the ESG offering for its clients.



CACEIS' THREE CSR PILLARS

- 1 **Supporting clients with confidence and security**
- 2 **Taking action to promote the energy and environmental transition**
- 3 **Being a responsible and committed employer**



GOLD RATED, CACEIS IS AMONG THE TOP 5%
OF THE HIGHEST RATED COMPANIES IN ITS SECTOR.

With ethical conduct and client security central to CACEIS' corporate strategy, there is a deep focus to partnering with clients in mitigating their ESG and other risk factors.



Relationships built on trust

Excellence in client relationships is a key element in CACEIS' approach. Clients remain at the centre of all activities to provide personalised support that's adapted to their individual needs.

CACEIS' front-office teams have the experience to understand the commercial strategy and cater to the complex needs of its global clients. CACEIS develops a close working relationship with each of its clients by offering them a dedicated sales contact who guarantees the quality of the services provided.

YOUR CONTACTS

Karim Allouache Middle East and North Africa

Philippe Bens Switzerland

Francesca De Bartolomeo Italy and Southern Europe

Jean-Christophe De Coninck France

Anja Maiberger Germany and Austria

Elena Mesonero Spain and Latin America

Olivier Storme Belgium, Luxembourg and Northern Europe

Michele Tuen Asia

Rinke Visser The Netherlands

Paddy Walsh UK, Ireland and North America

Arnaud Garel-Galais & Olivia Zitouni Private Equity Real Estate Solutions

Jean-Luc Eymery & François Reboul Market Solutions

Where to find us

CACEIS

BELGIUM

Brussels
+32 2 209 26 00

CANADA

Mississauga
+1 905 507 7704

FRANCE

Paris
+33 1 57 78 00 00

GERMANY

Munich
+49 89 5400 00
Frankfurt
+49 89 5400 00

HONG KONG

Hong Kong
+852 3742 3110

IRELAND

Dublin
+353 1 672 16 00

ITALY

Milan
+39 02 721 74 411

LUXEMBOURG

Luxembourg
+352 47 67 9

THE NETHERLANDS

Amsterdam
+31 20 557 59 11

SPAIN

Madrid
+34 91 175 78 89

SWITZERLAND

Nyon
+41 58 261 9400
Zurich
+41 58 261 9400

UNITED KINGDOM

London
+44 207 858 08 60

SANTANDER CACEIS

COLOMBIA

Bogotá
+57 1 742 1793
+57 1 742 1795

S3 CACEIS

BRAZIL

São Paulo
+55 11 5538 5220

MEXICO

Mexico City
+52 55 9156 3568

SANTANDER*

ARGENTINA

Buenos Aires
+54 11 43 41 1259

CHILE

Santiago
+56 9 9020 1450

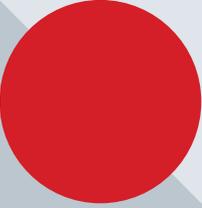
POLAND

Warsaw
+48 22 634 61 50

PORTUGAL

Lisbon
+351 213 705 328

* Access through Santander Group entities



caceis
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SOLID & INNOVATIVE

ACTING EVERY DAY IN THE INTERESTS OF OUR CLIENTS & SOCIETY

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CACEIS is incorporated as a "Société Anonyme" (limited company) with share capital of €941,008,309.02, has its registered office at 1-3, place Valhubert - 75013 Paris - France, and is registered in the Paris Trade and Companies Register under number 437580160.



WWW.CACEIS.COM

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