



**caceis**  
INVESTOR SERVICES

GLOBAL ASSET SERVICING PARTNER

# YOUR GLOBAL ASSET SERVICING PARTNER

With an innovative product offering, adapted to changing market practices and regulatory requirements, CACEIS is a leading global provider of custody and fund administration services.

CACEIS is an asset servicing bank specialising in post-trade functions related to administration and custody of all asset classes. With a solid IT infrastructure, we provide execution, clearing, custody, depositary and asset valuation services in markets worldwide to assist financial institutions, global custodians, insurance companies, asset management companies and corporate clients. As a specialist in operational process outsourcing, CACEIS creates an environment that enables its clients to effectively meet their business development objectives.

With an innovative product offering, adapted to changing market practices and regulatory requirements, CACEIS is a leading global provider of custody and fund administration services. With steady growth in terms of assets, clients and geographical coverage, CACEIS is a reliable partner for your business.

CACEIS benefits from the support of its original shareholder Crédit Agricole SA and of Santander. The Spanish leading bank acquired a stake at the end of 2019 and contributed its asset servicing and institutional custody activities in Spain and Latin America (Brazil, Mexico and Colombia) to CACEIS. This transaction has brought together CACEIS and Santander Securities Services (S3) to create a leading global player serving international institutional clients.

In 2019, another major international development transaction took place with the acquisition of KAS BANK. This acquisition strengthens CACEIS' position in the Netherlands, Germany and the United Kingdom and boosts the range of services offered to pension funds, insurance and asset management companies.

CACEIS is a long-term strategic partner for its clients.

**caceis**  
INVESTOR SERVICES

A company of Crédit Agricole  
and Santander

## KEY BENEFITS



LONG-TERM PARTNER



CLIENT PROXIMITY



SERVICE INNOVATION

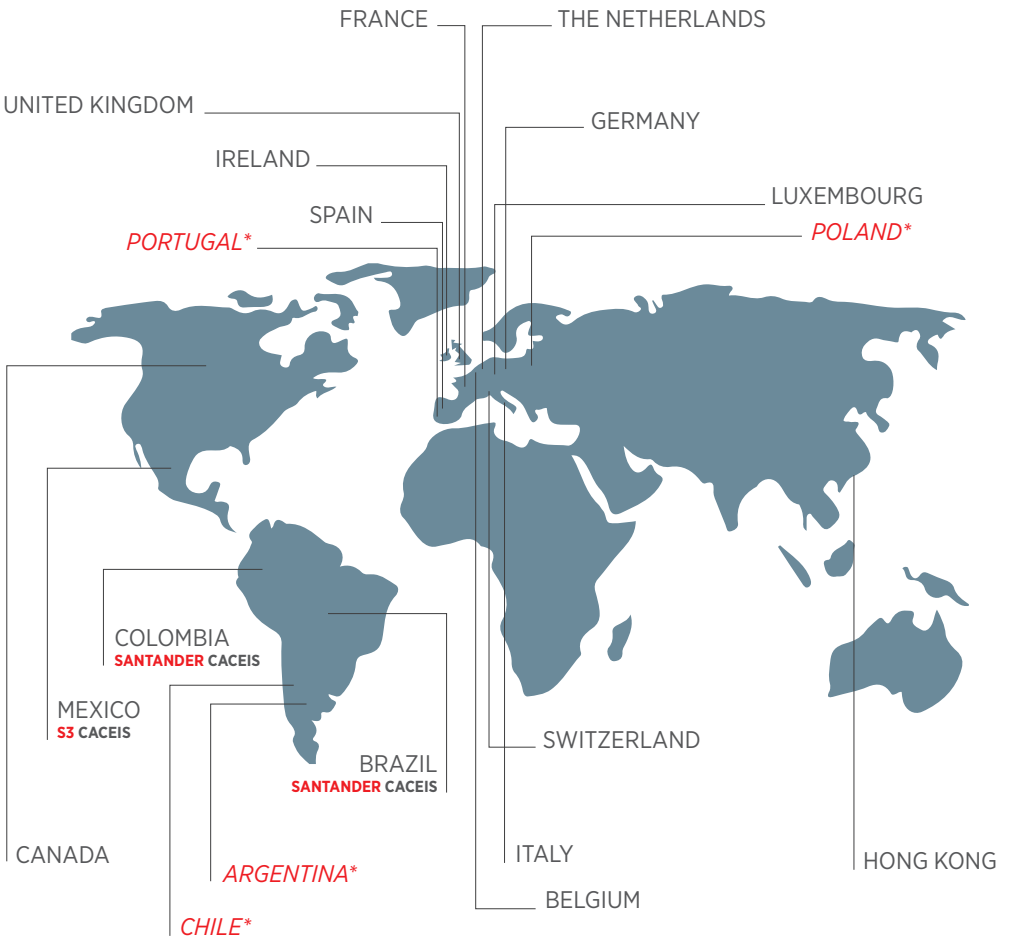


SOCIAL RESPONSIBILITY



INTEGRATED SOLUTIONS


# A GROWING INTERNATIONAL GROUP



\* Access through Santander Group entities

## A FOLLOW-THE-SUN MODEL

The Group provides consistent, reliable services to all clients, regardless of their geographical location, operating with a follow-the-sun workflow to ensure 24-hour coverage.

 **4,500**  
STAFF MEMBERS

**KEY  
BENEFITS**

**100%**  **ASSET  
SERVICING**

 **MARKET  
LEADER**

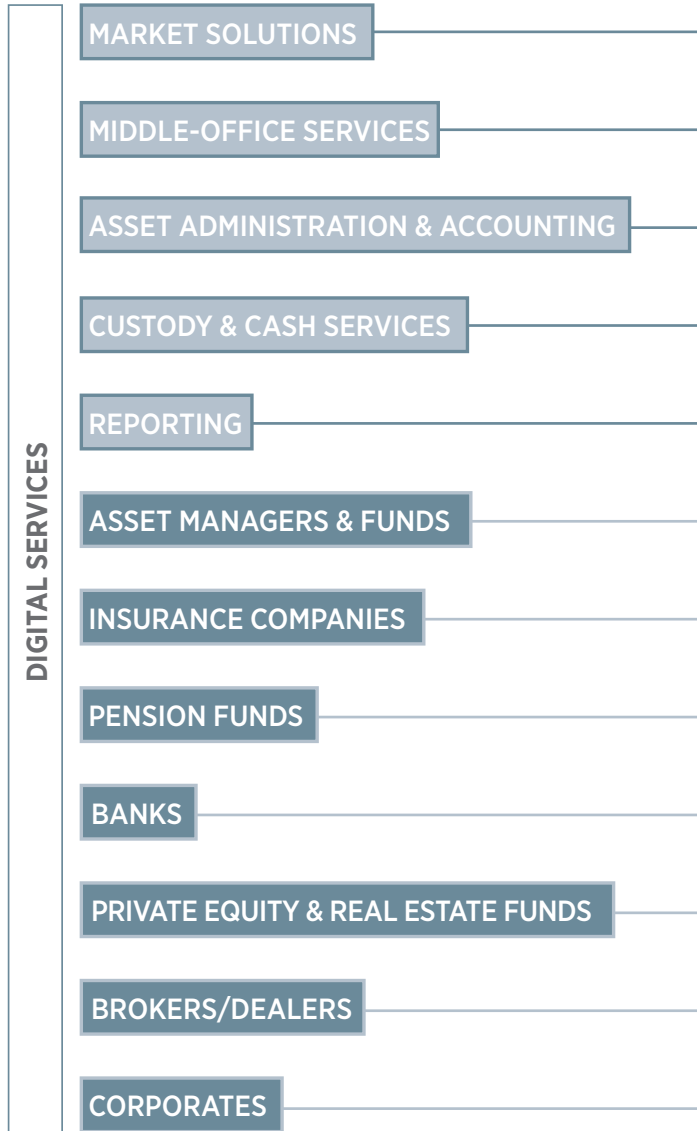
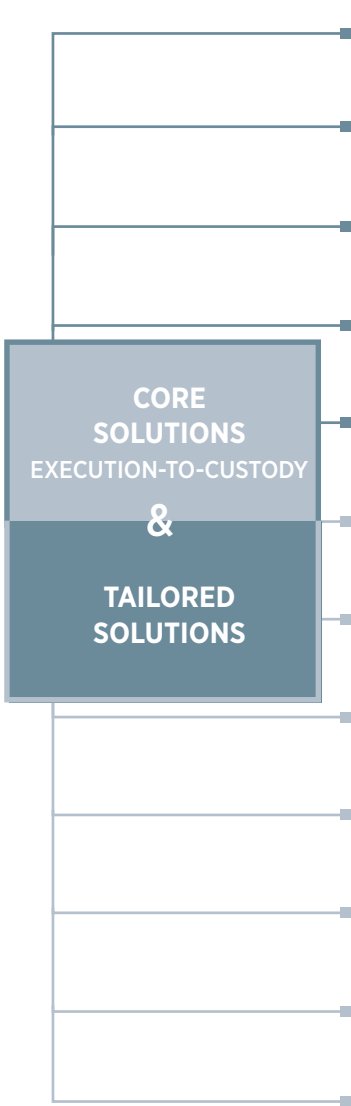
 **FINANCIAL  
STABILITY**

 **SERVICING  
EXPERTISE**

 **BUSINESS  
AGILITY**

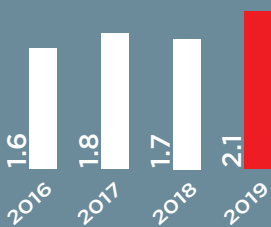
# SOLUTIONS TAILORED

CACEIS ASSISTS CLIENTS WORLDWIDE  
WITH POST-TRADE ACTIVITIES  
FROM ORDER EXECUTION  
TO ASSET CUSTODY



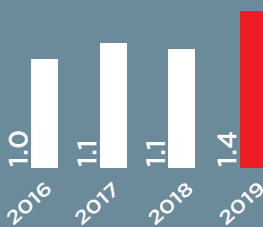
€2.1tr

IN ASSETS UNDER  
ADMINISTRATION



€1.4tr

IN ASSETS UNDER  
DEPOSITARY/TRUSTEE



Figures as at 31<sup>st</sup>  
December 2019

# TO EACH CLIENT'S NEEDS

## OUR DIGITAL PLATFORMS



- Execution (Equity, Futures & Options, Commodities, Bonds, Money Market), Clearing, Forex, Securities Finance, Collateral Management
- Trade Management, Position Keeping, OTC Derivatives Services, Share Class Hedging, Multi-Custodian Integration
- Portfolio Administration, Fund Administration, Regulatory Reports, Performance & Risk Analysis
- Local Custody, Global Custody, Custody Mirroring, Payments, Liquidity Management, Forex Standing Instructions
- Management of Financial, Regulatory & Tax Reporting (ESG & Climate, Performance Measurement & Analysis, etc.)
- Depository Trustee, Fund Structuring, Fund Distribution, Risk Reporting
- Investment Accounting & Analysis, ESG Reporting, Unit-Link Platform, Solvency II, Pledge, TEEPI
- Asset Pooling, Front Office Solution, Look-through, Multi-custodian Asset Servicing, Performance & Risk Solutions, Portfolio Accounting, Client & Regulatory Reporting
- Custody White-Labeling, Cash Management, Debt Issue Management
- Depository & Position Keeping, Fund Administration, Fund Financial Control & Reporting, Financing
- Cash Equity Clearing, Outsourcing Middle & Back-Office
- Securities & Financial Services, General Meetings, Debt Issuance, Employee Share Ownership Plans



CRÉDIT AGRICOLE

69.5  
69.5%

SANTANDER

30.5  
30.5%

# ONE FOCUS: ASSET SERVICING

CACEIS devotes all its resources to asset servicing in order to provide optimal solutions for clients seeking an expert partner that is both innovative and financially solid. We allocate our capital to ensure client assets are fully protected. We focus investments on hiring and retaining experienced staff as well as on the IT tools they need to provide high service levels to clients. We offer clients a rigorous compliance system that promotes the stable and sustainable development of their business activities.

## THE POWER TO INNOVATE

CACEIS is constantly investing in its IT systems and in designing innovative services adapted to each of its clients. Its dedicated private equity business line and integrated front-to-back approach make CACEIS the partner of choice for players in the sector. In a challenging environment, we are supplementing our market solutions by developing collateral management and optimisation services.

The Group remains firmly committed to embracing innovation and digital transformation for the benefit of clients and staff. We are at the forefront of technological developments, such as Artificial Intelligence, Robotics and Blockchain to ensure we can deliver the robust security, data insights, information accuracy and business efficiency that our clients require now and in the future.

Winner of an award for 2019's best innovation, the CACEIS Innovation Lab platform, dedicated to collaborative innovation, demonstrates CACEIS's determination to use new technology to improve clients user experience. The Group is expanding its TEEPI platform by integrating Market Place, a digital solution allowing the subscription and aggregation of fund shares for a consolidated view.

## A RESPONSIBLE PARTNER

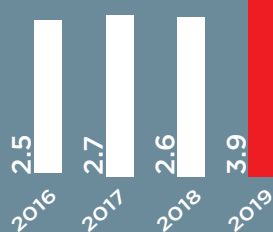
CACEIS believes that strong social, societal and environmental credentials are a source of long-term growth. In a demanding and ever-changing context, we are committed to fulfilling our role as a reliable partner for our clients by adding lasting value and securing healthy growth for their business. For example, the ESG & Climate Reporting helps clients to score their portfolios on ESG (Environmental, Social and Governance) criteria.

Our code of conduct reflects the high standards of responsibility and quality that govern all our professional activities, and our determination to always act in the best interests of clients and stakeholders. As a responsible employer, CACEIS has implemented a company policy aimed at helping our employees to improve their skills. Voluntary programmes covering compliance, diversity, fairness and quality of life at work bolster the ethical awareness, commitment and motivation of our teams. Lastly, CACEIS is constantly looking to reduce its environmental footprint and we encourage our suppliers to adopt a similar approach.

**CACEIS IS A EUROPEAN  
LEADER IN ASSET SERVICING  
AND ONE OF THE MAJOR  
PLAYERS WORLDWIDE**

€**3.9**tr

**IN ASSETS UNDER  
CUSTODY**



# AN INTERNATIONAL SALES FORCE

## BUILDING CLOSE RELATIONSHIPS AND STRONGER PARTNERSHIPS

Excellence in client relationships is a key element in CACEIS' approach. Clients are at the centre of all our decisions to provide personalised support adapted to their needs.

CACEIS' front office teams have the experience to understand the commercial strategy and cater to the complex needs of a global clientele. A dedicated primary sales contact coordinating and overseeing the quality of service provision, across all markets in which our clients operate, enables us to build a close working relationship with them.

## YOUR CONTACTS

**Karim Allouache** Middle East and North Africa

**Philippe Bens** Switzerland

**Bruno Bourbonnaud** France

**Olivier Storme** Belgium, Luxembourg and Northern Europe

**Neil Coxhead** United Kingdom, Ireland and North America

**Francesca De Bartolomeo** Italy and Southern Europe

**David Li** Asia

**Anja Maiberger** Germany and Austria

**Elena Mesonero** Spain and Latin America

**Rinke Visser** The Netherlands

**Arnaud Garel-Galais** Private Equity, Real Estate and Securitisation

**Jean-Luc Eymery & François Reboul** Market Solutions

# SOLID & INNOVATIVE

## SHAREHOLDERS

CACEIS is owned by two of the largest banking groups in Europe, which give us the means to define the most effective strategy to support our clients.

CACEIS

STANDARD & POOR'S: A+/A-1

SANTANDER

STANDARD & POOR'S: A/A-1

# CONTACTS

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\* Access through  
Santander Group entities

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CACEIS is incorporated as a "Société Anonyme" (limited company)

with a share capital of €941,008,309.02, has its registered office at 1-3, place Valhubert 75013 Paris - France, and is registered with the Paris trade and company register under number 437580160.

