

# FUND ADMINISTRATION

**As one of the world's largest fund administrators, CACEIS has a long history of providing flexible and reliable solutions to its clients, both asset management companies and institutional investors, from the most straightforward to the most complex investment strategies. Our fund administration systems are fully interfaced with other CACEIS platforms (depository/trustee, custody, middle-office and fund distribution) and can easily be connected to external ones.**

## CHALLENGES AND OPPORTUNITIES

With a strong foothold in the industry, CACEIS is your partner of choice. In today's market environment, we are focused on responding to your ongoing market challenges through our extensive fund administration services. We can support multiple structures across multiple domiciles, with expertise in both traditional and alternative investment vehicles. Our global structure combined with our local expertise are designed to support your business development worldwide and allow you to seize new opportunities.

## GLOBAL INSIGHT, LOCAL EXPERTISE AND CONTACT



### LOCAL PRESENCE IN MAIN MARKETS

- Offices in Europe (Belgium, France, Germany, Ireland, Italy, Luxembourg, the Netherlands, Switzerland, UK), and also in North America and Asia

### CONSISTENT FUND ADMINISTRATION SERVICES RELYING ON A CENTRALISED FUND ADMINISTRATION PLATFORM

- Structure particularly suited to providing support to clients marketing their funds worldwide
- Consistent services, control processes and reporting, wherever the funds are domiciled
- Single point of entry for management companies, offering a global view of the activity managed
- Easily accessible online reporting through OLIS interactive web portal

### DEDICATED EXPERTS AT YOUR SERVICE

- Client-oriented structure of the accounting teams
- Highly-skilled and experienced staff

## BESPOKE SERVICE DESIGN

Our services are designed to incorporate a high level of flexibility and the emphasis we put on gaining a thorough understanding of your business practices combined with our recognised expertise in fund administration ensure our solutions are a perfect fit for your unique and changing requirements.

- Commitment to upholding quality of service in terms of responsiveness and accuracy
- Recognised expertise in designing and administering complex structures such as multi-class, multi-manager, pooled and master-feeder funds

### EFFICIENT "FOLLOW-THE-SUN" SET-UP PROVIDING CLIENTS WITH ROUND-THE-CLOCK SUPPORT

- Local contact favoured with both managers and distributors through our European, Asian and US offices to support clients' development plans according to their organisational needs
- Earliest possible processing times using our presence across different time zones

### PROACTIVE SUPPORT RELATED TO NEW REGULATORY REQUIREMENTS/ OPPORTUNITIES

- Ongoing regulatory & fiscal watch
- Participation in main technical industry committees
- Modular offer to assist clients in the framework of developments in local and international regulations as well as legal or tax issues

## COMPREHENSIVE FUND ADMINISTRATION SERVICES



### RELIABLE PORTFOLIO ACCOUNTING, VALUATION AND NAV CALCULATION

- Wide range of vehicles supported across multiple domiciles, jurisdictions and legal structures
- Full coverage of financial instruments and investment strategies
- Multiple simultaneous valuation methods for the same portfolio (money market funds)
- Multiple pricing sources, flexible collection timings
- Performance management fee calculation and control
- Calculation, verification and dissemination of tax figures in compliance with the requirements of the countries of distribution
- Multi-currency and multi-class accounting systems

### FULLY COMPLIANT REGULATORY, FINANCIAL AND TAX REPORTING

- Local regulatory reporting, including periodical financial statements
- UCITS KIID, PRIIPs KID, AIFMD, Solvency II and MiFID II reporting
- Financial, statistical and tax statements compliant with the requirements of the countries of distribution
- Financial reporting dedicated to institutional investors under local/IFRS GAAP
- Tailored performance reporting (measurement, contribution, attribution)
- Investment restriction monitoring
- Value-at-Risk, back-testing, stress-testing
- Fund data dissemination to data providers and the financial press
- Distribution of Solvency II, PRIIPs and MiFID II files via a dedicated platform: TEEPI

### OTHER VALUE-ADDED SERVICES

- Share class hedging
- Swing pricing
- Price chasing
- Valuation of OTC derivatives and structured products
- Portfolio look-through
- Structuring and asset pooling techniques (multi-manager, ring-fencing, pooling)
- Product restructuring and cross-border mergers

## SECURE AND CONTROLLED ENVIRONMENT



### PROVEN INDUSTRIALISED PROCESSES

- 350,000 active financial instruments, 900,000 financial data points captured and verified each day
- 8,500 portfolios under administration, 120,000 monthly valuations
- High level of STP at all steps, ensuring reduced operational risk and improved accuracy
- Advanced and secure IT systems

### CONSTANT FOCUS ON CONTROLS & QUALITY

- ISO 9001 certification
- SSAE 16 and ISAE 3402 Type II auditing
- Continuous improvement of procedures and daily monitoring of operations approach
- Controls executed and documented at all steps:
  - Data management
  - Transaction flows
  - Valuation
  - Reconciliations
  - NAV calculation
  - Regulatory reporting
  - Financial & tax reporting
- Activity report: broad range of Key Performance Indicators enabling our clients to monitor and assess the quality of the service provided

## OLIS MOBILE PORTFOLIO MONITORING WEB APP



- Designed as a complement to OLIS portal to simplify day-to-day business
- Dashboard feature with all daily tasks ranked by priority
- Quick NAV validation
- Real time data on fund inflows
- Available for smartphone and tablets on Apple Store and Playstore

# A SINGLE ACCESS POINT FOR CLIENTS



# ILLUSTRATION OF KEY PERFORMANCE INDICATORS (KPIs)



## OLIS MOBILE WEB APP DESIGNED TO SIMPLIFY DAY-TO-DAY BUSINESS



### LEADING TECHNOLOGY

All our services run on cutting-edge, industry-leading technology, which we have rolled out across our global network of companies. In doing so, we ensure clients receive the same high standard of servicing across all CACEIS locations.

### RELATIONSHIP MANAGEMENT

Experience shows that no two clients are alike, which is why we are devoted to understanding each business and every objective. Building a close business relationship and customising our services to the needs of each client ensures a close strategic fit between your company and our services.

### ASSET PROTECTION

Asset protection is a key concept that underpins our entire business philosophy, and is upheld through rigorous controls and ongoing monitoring of all types of risk. And by working with CACEIS, you know you are backed by one of the world's most solid banks, Crédit Agricole.

### REGULATIONS AND BEST PRACTICES

The close cooperation between all our entities ensures that best practices and information on local and international regulatory developments are spread throughout CACEIS, so every client benefits from our combined knowledge and skills, no matter where they operate.



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