

CUSTODY

CACEIS is an asset servicing banking group, offering a comprehensive range of services covering local and global custody, payments, forex and cash management to institutional investors, investment management companies, funds, banks, broker dealers and corporates.

CHALLENGES AND OPPORTUNITIES

In today's market environment, choosing a reputable custodian, with solid financial backing, secure and highly-controlled processes and therefore the ability to ensure the effective safekeeping of clients' assets, is of paramount importance.

BESPOKE SERVICE DESIGN

Our services are designed to incorporate a high level of flexibility and the emphasis we put on gaining a thorough understanding of your business practices combined with our recognised expertise in custody ensure our solutions are a perfect fit for your unique and changing requirements.

SECURE AND HIGHLY-CONTROLLED ENVIRONMENT



ASSET SAFETY

- CACEIS's financial strength and stability backed by a solid shareholder, Crédit Agricole Group
- Robust risk management mechanism covering operational, credit and financial risks
- Prudent independent sub-custodian selection in full compliance with regulations, with close monitoring and regular review of our network, through on-site due diligence and annual assessments

HIGH-QUALITY OF CUSTODY PROCESSES

- Constant focus on quality recognised by industry: ISO 9001 certification, SSAE 16 & ISAE 3402 TypeII audits
- Fully-controlled automated processes, ensuring high reliability and reduced operational risks
- Continuous risk assessment and improvement of operational procedures
- High level of STP at all steps and full SWIFT capability
- Advanced and secure IT
- Flexible communication platform with secure data exchange and high information exchange flow capacity

GROUP-WIDE EFFICIENCY AND SERVICE INTEGRATION



EFFICIENT SET-UP PROVIDING CLIENTS WITH OPTIMAL SERVICE DELIVERY

- Single point of access for custody services, wherever the activities of our clients are located
- European hub with common IT systems and qualified staff and best practices as standard
- Worldwide custody network covering over 80 countries and direct links with the major European CSDs
- T2S access as set-up as cash and security DCP (Directly Connected Participant)

CLIENT PROXIMITY AND DEDICATED EXPERTS TO SERVE YOU

- Flexible and customised solutions designed to meet the needs of our various clients
- Highly experienced staff with in-depth knowledge of the market
- No offshoring used, local presence essential for relationship management
- Commitment to upholding quality of service in terms of responsiveness and accuracy

OLIS, A SINGLE, CUSTOMISABLE ASSET SERVICING INTERFACE

- Real-time access to cash and securities account
- Broad range of reports on portfolio holdings and transactions available
- Monitoring of all corporate actions
- Key market information provided

PROACTIVE SUPPORT FOR NEW CLIENTS, MARKETS OR REGULATORY REQUIREMENTS

- Active regulatory & fiscal watch and participation in main technical industry committees
- Continuous development of products and services according to new clients, market or regulatory requirements (notably in the framework of FACTA, AIFMD, UCITS V and AEOI regulations)
- US QI (Qualified Intermediary) status and FFI (Foreign Financial Institution) status in accordance with FATCA rules

A WIDE RANGE OF POWERFUL SERVICES

AN EXPERIENCED PROVIDER OF LOCAL AND GLOBAL CUSTODY SERVICES

- Safekeeping of assets, including physical securities
- Trade processing and settlement
- Income collection
- Corporate action processing
- Proxy voting
- Tax reclaim and local tax reports
- Mandatory reporting handling
- Class action offer
- Web-based information delivery

PAYMENT SERVICES ON MOST CURRENCIES

- A single entry point for input and monitoring of all domestic and international payments individually or as a batch via our OLIS site
- Management of all permitted currencies for which CACEIS has a correspondent (list available upon request)

AN EXTENSIVE RANGE OF FOREX SERVICES

- Financing securities operations or hedging currency risk exposure
- Spots, forwards, swaps, NDFs, NDSs, options and structured FX products
- Competitive prices with direct access to the FX Interbank market

A CASH MANAGEMENT OFFER TO SUIT YOUR SECURITIES STRATEGY

- Cash flow forecast service
- Assistance in designing an effective cash investment strategy
- Broad range of products to enhance your returns and minimise risk
- Deposits, repos, papers, bonds and notes in most currencies

A RANGE OF WHITE-LABEL SOLUTIONS

- Management of securities accounts, instructions and stocks
- Management of cash accounts
- Reports

CUSTODY MIRRORING SERVICE

- A consolidated view of assets held with other institutions
- Securities positions held by other worldwide financial institutions mirrored in our systems
- Standardised information available to simplify daily portfolio monitoring and facilitate the generation of consolidated reports



WWW.CACEIS.COM