

BROKER-DEALERS

CASH EQUITY CLEARING

CACEIS provides efficient and reliable cash equity clearing solutions for Broker-Dealers & Banks. Our extensive experience as well as our flexible technology enables a high level of service automation allowing clients to focus on trading activities.

CHALLENGES AND OPPORTUNITIES

CACEIS offers Pan-European coverage for cash equity On-Exchange and Multilateral Trading Facilities (MTFs) with access to related Central Counterparties (CCPs) and a worldwide sub-custodian network supporting your trading activities and covering over 80 markets.

CACEIS allows you to focus on trading while we handle all post-trade activities in the rapidly evolving capital markets and regulatory environment.

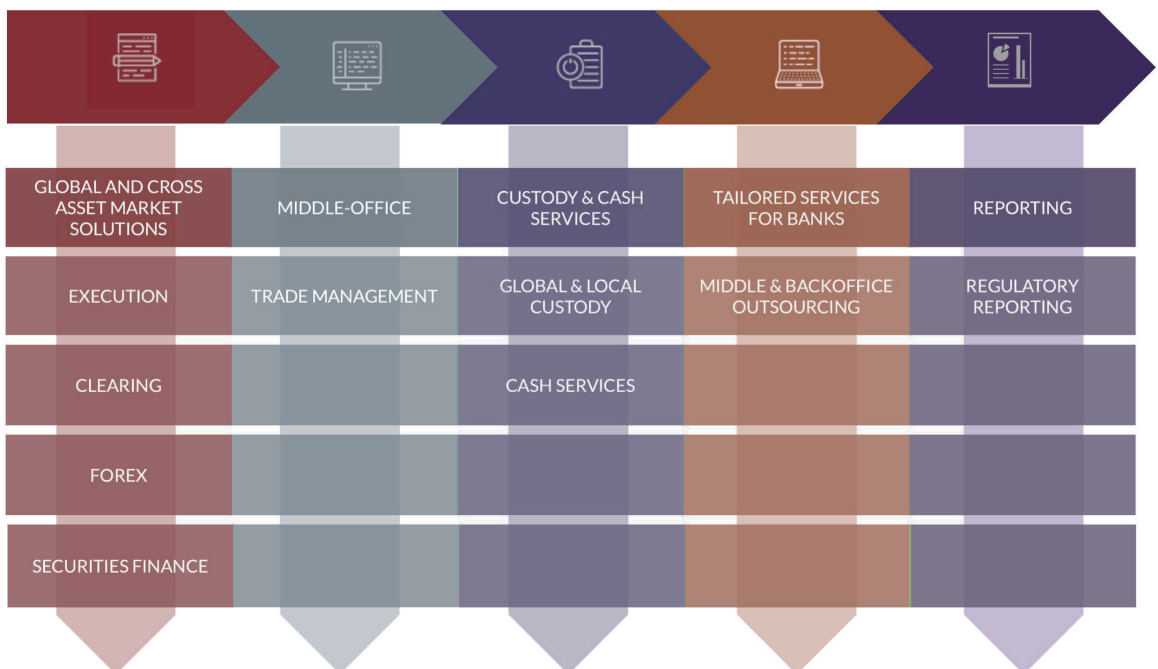
BESPOKE SERVICE DESIGN

Each of our clients selects a service level : clearing & settlement only and/or global custody services.

Depending of your unique needs, we will be able to co-create a specific solution tailored to your configuration and expectations.

COMPREHENSIVE ASSET SERVICING OFFER FOR BROKERS

We offer a wide range of core, digital and tailored services. To find out more about our core and digital services, please refer to our product-specific factsheet.





CASH EQUITY CLEARING: EXPERTISE AND CUTTING-EDGE TECHNOLOGY FOR HIGHLY AUTOMATED SERVICES

Trade management

- Direct feed of on-exchange trades from the market (no settlement instructions required)
- Convenient upload of OTC settlement instructions for all offered settlement markets using various formats: proprietary file or Swift-like format (*via* OLIS or *via* SFTP), Swift-format *via* Swift
- Efficient processing, validation and enrichment of your transactions

CACEIS, general clearing member for cash equity clearing

- General Clearing Member (GCM) for the cash equity clearers: Eurex CCP, LCH Clearnet SA, LCH Clearnet Ltd. (*via* partner), SIX x-clear, CC&G, EuroCCP and CCP.A
- For Non-Clearing Members, facilitation of trading on stock-exchanges or Multilateral Trading Facilities (MTFs) by handling the clearing and settlement of CCP and non-CCP trades

CSD/sub-custodian network

- Settlement of on-exchange and OTC transactions (DvP/RvP and FOP) in more than 80 markets across the world
- Direct connections to CSDs and ICSDs for more efficient settlement
- The opportunity to determine preferred way of settlement depending on business and asset class
- Real-time transaction processing and related settlement
- Turnaround trades and same day settlements

Settlement internalisation

- Efficient process for common CACEIS clients
- Internalisation leads to cost reduction for settlements

Risk management

- Monitoring open trade positions and agreed facilities
- Providing customised risk reporting
- Managing margin calls by optimised Collateral Management

Margin financing

- Providing collateral to CCPs based on trading activities
- Finance margin calls in the context of overall activity profile using a business-oriented risk management approach

Refinancing services

- Financing trading activities based on contractual settlement and within limits approved by CACEIS' risk management division

Securities Lending

- Clients can benefit from CACEIS' fails coverage to avoid settlement fails
- SFTR Reporting