

Anti Money Laundering

CACEIS SA, parent company of the CACEIS Group, is controlled up to 85% by the Credit Agricole SA, a French Banking Group, regulated by the Central Bank of France (l'Autorité de Contrôle Prudentiel) and the Financial Authority (Autorité des Marchés Financiers)

https://www.regafi.fr/spip.php?page=results&type=simple&id_secteur=1&lang=fr&denomination=credit+agricole+SA&pg=6

Credit Agricole SA is subjected to the Directive 2005/60/EC of European Parliament of the Council of 26th of October 2005 on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing <http://www.credit-agricole.com/en/The-Group/About-Us/Compliance>

Through its affiliation to the Crédit Agricole SA, CACEIS SA and its subsidiaries, regulated and non regulated, are required to comply with regulations and procedures applicable to Credit Agricole Group, in anti-money laundering and terrorist financing and in particular with regard to customer identification

The following company are part of Credit Agricole SA scope consolidation (cf. Financial Review at 30 juin 2011 page 193 on Crédit Agricole internet)

<http://www.credit-agricole.com/Finance-et-Actionnaires/Information-financiere/Rapports-annuels-et-resultats>

Parent Company

✚ CACEIS SA

Main Subsidiaries and Branches of CACEIS SA

- ✚ CACEIS Bank France
- ✚ CACEIS Corporate Trust
- ✚ CACEIS Fund Administration
- ✚ CACEIS Bank Luxembourg and Dublin Branch
- ✚ CACEIS Bank Deutschland GmbH
- ✚ CACEIS Belgium
- ✚ CACEIS (Canada) Limited
- ✚ CACEIS (Bermuda) Limited
- ✚ CACEIS (Cayman) Limited
- ✚ CACEIS (USA) Inc
- ✚ CACEIS Ireland Limited
- ✚ CACEIS Hong Kong Trust Company Limited
- ✚ CACEIS Netherland N.V
- ✚ CACEIS (Switzerland) SA
- ✚ Luxcellence Management Company SA